

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Shuichi WATANABE

U.S.S.N. Not Yet Assigned, Based on  
PCT/JP00/04299

Group Art:

Not Yet Assigned

FILED: Herewith

Examiner:

Not Yet Assigned

**FOR: MOTION PICTURE RETRIEVAL INFORMATION STORAGE APPARATUS  
AND MOTION PICTURE RETRIEVING APPARATUS**CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No: EL885010348US, Postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on December 21, 2001.

By: 

Fatima H. DeArruda

**INFORMATION DISCLOSURE STATEMENT  
(SUBMISSION AFTER FILING OF AN APPLICATION  
BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE)**

Box New Application /RO  
Commissioner for Patents and Trademarks  
Washington, D.C. 20231

Date: December 21, 2001

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

**I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION**

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

**II. COPIES**

- a. ☒ Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

**III. CONCISE EXPLANATION OF THE RELEVANCE  
(check at least one box)**

- a. ☒ Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. ☒ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows: English Abstracts for References BB-BG. English Translation for Reference CB.
- c. ☐ The following additional information is provided for the Examiner's consideration:

**FEES**

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)  
(check one box)

- a. ☒ within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)) and 1.98. No fee or certification is required.
- b. ☐ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. ☐ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$240.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):  
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. ☐ No certification; therefore, a fee in the amount of \$240.00\_\_\_\_\_ is required by 37 C.F.R. . § 1.17(p).
- or
- b. ☐ See the certification below. No fee is required.

VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)  
The undersigned hereby certifies that

Docket No. 56779/70551

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- a. ☐ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
- c. ☐ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

- ☐ Please charge Deposit Account No. 04-1105 in the amount of \$240.00 for the above-indicated fee. A triplicate copy of this paper is attached.
- ☒ No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition, if necessary) and charge the appropriate fee to Deposit Account No. 04-1105.

Respectfully submitted,

Date: December 21, 2001

  
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**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Docket Number (Optional)

56779/71551

Application Number

10/019747

Applicant(s)

WATANABE, S.

JC13 Rec'd PCT/PTO 21 DEC 2001

Filing Date

December 21, 2001

Group Art Unit

Unassigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,719,643	02/17/1998	Nakajima	348/700	701	09/13/1996

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	BA	EP 0 907 147 A 2	04/04/1999	EPO	G06T	11/60		✓
	BB	7-152779	06/16/1995	JAPAN	G06T	17/30		✓
	BC	9-83864	03/28/1997	JAPAN	H04N	5/262		✓
	BD	6-153146	05/31/1994	JAPAN	H04N	5/91		✓
	BE	5-46769	02/26/1993	JAPAN	G06F	15/70		✓

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	CA	KOBLA et al, "Indexing and Retrieval of the MPEG Compressed Video" Journal of Electronic Imaging, Vol. 7(2) April 1998, Pages 294-307.
	CB	Application of MPEG Motion Vectors to Video Retrieval; Proceedings of 1999 IEICE IEICE General Conference; March 25, 1999; Miyasaka et al.; pg. 12

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

